

**FORM-1**

[See Rules 5(1) (g), 13(1) (i), 13(1) (vi)]

**Applicable to producers seeking Extended Producer Responsibility -  
Authorisation**

The application form should contain the following information:

1.	Name and full address along with telephone numbers, e-mail and other contact details of Producer (It should be the place from where sale in entire country is being managed)	:	
2.	Name of the Authorised Person and full address with e-mail, telephone and fax number	:	
3.	Name, address and contact details of Producer Responsibility Organisation, if any with full address, e-mail, telephone and fax number, if engaged for implementing the Extended Producer Responsibility	:	
4.	Details of electrical and electronic equipment placed on market year-wise during previous 10 years in the form of Table 1 as given below:	:	

**Table 1: Details of Electrical and Electronic Equipment placed on the market in previous years - Code wise**

Sr. No.	Electrical and Electronic Equipment Item	Electrical and Electronic Equipment Code	Quantity, number and weight placed on market (year-wise)									
A	Information technology and telecommunication equipment:											
1	Centralised data processing: Mainframes, Minicomputers	ITEW1										
2	Personal Computing: Personal Computers (Central Processing Unit with input and output devices)	ITEW2										
3	Personal Computing: Laptop Computers(Central Processing Unit with input and	ITEW3										

	output devices)											
4	Personal Computing: Notebook Computers	ITEW4										
5	Personal Computing: Notepad Computers	ITEW5										
6	Printers including cartridges	ITEW6										
7	Copying equipment	ITEW7										
8	Electrical and electronic typewriters	ITEW8										
9	User terminals and systems	ITEW9										
10	Facsimile	ITEW10										
11	Telex	ITEW11										
12	Telephones	ITEW12										
13	Pay telephones	ITEW13										
14	Cordless telephones	ITEW14										
15	Cellular telephones	ITEW15										
16	Answering systems	ITEW16										
<b>B</b>	<b>Consumer electrical and electronics:</b>											
17	Television sets (including sets based on (Liquid Crystal Display and Light Emitting Diode technology)	CEEW1										
18	Refrigerator	CEEW2										
19	Washing Machine	CEEW3										
20	Air-conditioners excluding centralised air conditioning plants	CEEW4										
21	Fluorescent and other Mercury containing lamps	CEEW5										

5. Estimated generation of Electrical and Electronic Equipment waste item-wise and estimated collection target for the forthcoming year in the form of Table 2 including those being generated from their service centres, as given below:

**Table 2: Estimated generation of Electrical and Electronic Equipment waste item-wise and estimated collection target for the forthcoming year**

Sr. No.	Item	Estimated waste and electronic equipment generation Number and weight	Targeted collection Number and weight

6. Extended Producer Responsibility Plans:

(a) Please provide details of your overall scheme to fulfil Extended Producer Responsibility obligations including targets. This should comprise of general scheme of collection of used/waste Electrical and Electronic Equipment from the Electrical and Electronic Equipment placed on the market earlier such as through dealers and collection centres, Producer Responsibility Organisation, through buy-back arrangement, exchange scheme, Deposit Refund Scheme, etc. whether directly or through any authorised agency and channelising the items so collected to authorised recyclers.

(b) Provide the list with addresses along with agreement copies with dealers, collection centres, recyclers, Treatment, Storage and Disposal Facility, etc. under your scheme.

7. Estimated budget for Extended Producer Responsibility and allied initiatives to create consumer awareness.

8. Details of proposed awareness programmes.

9. Details for Reduction of Hazardous Substances compliance (to be filled if applicable):

(a) Whether the Electrical and Electronic Equipment placed on market complies with the rule 16 (1) limits with respect to lead, mercury, cadmium, hexavalent chromium, polybrominated biphenyls and polybrominateddiphenyl ethers;

(b) Provide the technical documents (Supplier declarations, Materials declarations/Analytical reports) as evidence that the Reduction of Hazardous Substances (RoHS) provisions are complied by the product based on standard EN 50581 of EU;

- (c) Documents required:

- Extended Producer Responsibility plan;
- Copy of the permission from the relevant Ministry/Department for selling their product;

- iii. Copies of agreement with dealers, collection centre, recyclers, Treatment, Storage and Disposal Facility, etc.;
- iv. Copy of Directorate General of Foreign Trade license/permission as applicable;
- v. Self-declaration regarding Reduction of Hazardous Substances provision;
- vi. Any other document as required.

**(Authorised signature)**

Place: \_\_\_\_\_

Date: \_\_\_\_\_

**FORM 1(a)**

*[See rules 4(2), 8 (2), 13(2) (ii), 13(2) (vi) and 13(4) (i)]*

**APPLICATION FOR OBTAINING AUTHORISATION FOR GENERATION OR  
STORAGE OR TREATMENT OR DISPOSAL OF E-WASTE BY MANUFACTURER  
OR REFURBISHER\***

From: .....

To

The Member Secretary,  
..... Pollution Control Board or..... Pollution Control Committee

Sir,

I / We hereby apply for authorisation/renewal of authorisation under rule 13(2) (i) to 13(2) (viii) and/or 13 (4) (i) of the E-Waste (Management) Rules, 2016 for collection/storage/ transportation/ treatment/ refurbishing/disposal of e-wastes.

**For Office Use Only**

Code No. :

Whether the unit is situated in a critically polluted area as identified by Ministry of Environment and Forests (yes/no);

**To be filled in by Applicant**

1. Name and full address:
2. Contact Person with designation and contact details such as telephone Nos, Fax. No. and E-mail:
3. Authorisation required for (Please tick mark appropriate activity/ies\*)
  - (i) Generation during manufacturing or refurbishing\* ☐
  - (ii) Treatment, if any ☐
  - (iii) Collection, Transportation, Storage ☐
  - (iv) Refurbishing ☐
4. E-waste details:
  - (a) Total quantity e-waste generated in MT/A
  - (b) Quantity refurbished (applicable to refurbisher)
  - (c) Quantity sent for recycling
  - (d) Quantity sent for disposal
5. Details of Facilities for storage/handling/treatment/refurbishing:
6. In case of renewal of authorisation previous authorisation no. and date and details of annual returns:

Place : \_\_\_\_\_

Signature \_\_\_\_\_

Date : \_\_\_\_\_

(Name \_\_\_\_\_)

Designation: \_\_\_\_\_

**Note:-**

(1) \* The authorisation for e-waste may be obtained along with authorisation for hazardous waste under the Hazardous Wastes (Management, Handling and Transboundary Movement) Rules, 2008, if applicable.

(2) Wherever necessary, use additional sheets to give requisite and necessary details.

**FORM 1 (aa)**  
*[See rules 5 (6) and 13(1)(ii)]*

**FORMAT OF EXTENDED PRODUCER RESPONSIBILITY - AUTHORISATION**

**[Extended Producer Responsibility Authorisation for Producer of the Electrical & Electronic Equipment]**

**Ref:** Your application for Grant of Extended Producer Responsibility - Authorisation for following Electrical & Electronic Equipment under E-Waste (Management) Rules, 2016

1. Number of Authorisation:

**Date:**

2. **M/s. -----** is hereby granted Extended Producer Responsibility - Authorisation based on:

- (a) overall Extended Producer Responsibility plan
- (b) proposed target for collection of e-waste

3. The Authorisation shall be valid for a period of \_\_\_\_ years from date of issue with following conditions:

(i) you shall strictly follow the approved Extended Producer Responsibility plan, a copy of which is enclosed herewith;

(ii) you shall ensure that collection mechanism or centre are set up or designated as per the details given in the Extended Producer Responsibility plan. Information on collection mechanism/centre including the state-wise setup should be provided;

(iii) you shall ensure that all the collected e-waste is channelised to authorised dismantler or recycler designated as per the details. Information on authorised dismantler or recycler designated state-wise should be provided;

(iv) you shall maintain records, in Form-2 of these Rules, of e-waste and make such records available for scrutiny by Central Pollution Control Board;

(v) you shall file annual returns in Form-3 to the Central Pollution Control Board on or before 30th day of June following the financial year to which that returns relates;

(vi) General Terms & Conditions of the Authorisation:

- a. The authorisation shall comply with provisions of the Environment (Protection) Act, 1986 and the Rules made there under;
- b. The authorisation or its renewal shall be produced for inspection at the request of an officer authorised by the Central Pollution Control Board;
- c. Any change in the approved Extended Producer Responsibility plan should be informed to Central Pollution Control Board on which decision

shall be communicated by Central Pollution Control Board within sixty days;

- d. It is the duty of the authorised person to take prior permission of the concerned State Pollution Control Boards and Central Pollution Control Board to close down the facility;
- e. An application for the renewal of authorisation shall be made as laid down in sub-rule (vi) of rule of 13(1) the E-Waste (Management) Rules, 2016;
- f. The Board reserves right to cancel/amend/revoke the authorisation at any time as per the Policy of the Board or Government.

**Authorized signatory  
(with designation)**

**To,  
Concerned Producer**

**Copy to:**

- 1. Member Secretary, Concerned State.
- 2. In-charge, concerned Zonal Office, Central Pollution Control Board.



**FORM 1(bb)**

*[See rules 4(2), 8(2)(a), 13(2) (iii) and 13(4)(ii)]*

**FORMAT FOR GRANTING AUTHORISATION FOR GENERATION OR STORAGE  
OR TREATMENT OR REFURBISHING OR DISPOSAL OF E-WASTE BY  
MANUFACTURER OR REFURBISHER**

**Ref: Your application for Grant of Authorisation**

1. (a) Authorisation no. .... and (b) date of issue .....
2. ....of.....is hereby granted an authorisation for generation, storage, treatment, disposal of e-waste on the premises situated at..... for the following:
  - a. quantity of e-waste;
  - b. nature of e-waste.
3. The authorisation shall be valid for a period from ..... to .....
4. The e-waste mentioned above shall be treated/ disposed off in a manner ..... at .....
5. The authorisation is subject to the conditions stated below and such conditions as may be specified in the rules for the time being in force under the Environment (Protection) Act, 1986.

Signature -----

Designation -----

Date: -----

**Terms and conditions of authorisation**

1. The authorisation shall comply with the provisions of the Environment (Protection) Act, 1986, and the rules made thereunder.
2. The authorisation or its renewal shall be produced for inspection at the request of an officer authorized by the concerned State Pollution Control Board.
3. Any unauthorised change in personnel, equipment as working conditions as mentioned in the application by the person authorized shall constitute a breach of his authorisation.
4. It is the duty of the authorised person to take prior permission of the concerned State Pollution Control Board to close down the operations.
5. An application for the renewal of an authorisation shall be made as laid down in sub-rule (vi) of rule 13(2).